

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Hauser et al.	)	
		)	
Serial No.:	Not Yet Assigned	)	Examiner: unassigned
		)	
Filed:	October 30, 2003	)	Group Art Unit: unassigned
		)	
Title:	Hydrostatic Transmission	)	No.: 36400.01US24

**INFORMATION DISCLOSURE STATEMENT**  
**(37 C.F.R. §1.97(b)(3))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, pursuant to 37 C.F.R. §1.56, the items of information listed on the enclosed Form PTO/SB/08a are brought to the attention of the Examiner.

This statement should be considered because it is being submitted with this application; therefore, no fee is required.

In accordance with 37 C.F.R. §1.98(d), copies of U.S. patents and other art listed on the enclosed forms PTO/SB/08A and PTO/SB/08B which were previously cited by or submitted to the Office with the previously filed applications, of which the current application is a continuation, are not enclosed. The previously filed application upon which the current application relies for an earlier filing date under 35 U.S.C. § 120 is:

U.S. Patent Application Serial No. 10/243,368, filed September 13, 2002, which is a continuation of U.S. Patent Application Serial No. 09/880,587 (Patent No. 6550243), filed June 13, 2001; which is a continuation of U.S. Patent Application Serial No. 09/846,545, filed on May


1, 2001; which is a continuation of Serial No. 09/420,183 (Patent No. 6,256,988), filed October 18, 1999; which is a continuation of 09/016,584 (Patent No. 6,014,861), filed January 30, 1998; which is a continuation of Serial No. 08/644,474 (Patent No. 5,768,892), filed May 10, 1996; which is a continuation of Serial No. 08/613,371 (Patent No. 5,616,092), filed March 11, 1996; which is a continuation of Serial No. 08/260,807 (Patent No. 5,501,640), filed June 16, 1994; which is a continuation of Serial No. 08/025,272 (Patent No. 5,330,394), filed March 2, 1993; which is a division of Serial No. 07/917,858 (Patent No. 5,314,387), filed July 22, 1992; which is a continuation-in-part of Serial No. 07/727,463 (Patent No. 5,201,692), filed July 9, 1991.

In accordance with 37 C.F.R. §1.104, no representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicant reserves the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Form PTO/SB/08a, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: Nov. 6, 2003

By:   
Thomas C. McDonough  
Registration No. 33,734  
NEAL, GERBER & EISENBERG LLP  
2 North LaSalle Street, Suite 2200  
Chicago, Illinois 60602  
(312) 269-5282

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet

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of

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**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Raymond M. Hauser
Art Unit	
Examiner Name	
Attorney Docket Number	36400.01US24

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,014,861	01/18/2000	Hauser et al	
		US- 5,950,102	09/14/1999	Okada	
		US- 5,768,892	06/23/1998	Hauser et al	
		US- 5,616,092	04/01/1997	Hauser et al	
		US- 5,545,102	08/1996	Burgman et al	
		US- 5,533,943	07/1996	Ichioaka et al	
		US- 5,513,717	05/07/1996	Louis et al	
		US- 5,501,640	03/26/1996	Hauser et al	
		US- 5,493,862	02/27/1996	Folsum	
		US- 5,435,790	07/1995	Kota et al	
		US- 5,330,394	07/19/1994	Hauser et al	
		US- 5,317,936	06/07/1994	Shiba et al	
		US- 5,314,387	05/24/1994	Hauser et al	
		US- 5,289,738	03/01/1994	Szulczewski	
		US- 5,218,886	06/15/1993	Louis et al	
		US- 5,203,168	04/20/1993	Oshina et al	
		US- 5,201,692	04/13/1993	Johnson et al	
		US- 5,156,576	10/20/1992	Johnson	

**FOREIGN PATENT DOCUMENTS**

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application Number

Filing Date

First Named Inventor

Raymond M. Hauser

Art Unit

Examiner Name

Attorney Docket Number

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		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,142,940	09/01/1992	Hasegawa	
		US- 5,078,659	01/07/1992	Von Kaler et al	
		US- 5,067,933	11/1991	Hardesty et al	
		US- 5,031,403	07/16/1991	Okada	
		US- 4,992,780	02/12/1991	Fujisaki et al	
		US- 4,987,796	01/29/1991	Von Kaler et al	
		US- 4,979,583	12/25/1990	Thoma et al	
		US- 4,967,861	11/1990	Oyama et al	
		US- 4,959,043	09/25/1990	Klotz et al	
		US- 4,953,426	09/04/1990	Johnson	
		US- 4,942,780	07/24/1990	Fujisaki et al	
		US- 4,932,209	06/12/1990	Okada	
		US- 4,922,787	05/08/1990	Fujisaki et al	
		US- 4,914,907	04/10/1990	Okada	
		US- 4,903,545	02/27/1990	Louis et al	
		US- 4,899,541	02/13/1990	Okada et al	
		US- 4,893,524	01/16/1990	Ohasi et al	
		US- 4,891,943	01/09/1990	Okada	

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First Named Inventor

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		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,870,820	10/03/1989	Nemoto	
		US- 4,864,890	09/12/1989	Friedrich et al	
		US- 4,862,767	09/05/1989	Hauser	
		US- 4,856,372	08/15/1989	Williams	
		US- 4,856,368	08/15/1989	Fujisaki et al	
		US- 4,856,264	08/15/1989	Nishimura et al	
		US- 4,779,699	10/1998	Hatano	
		US- 4,756,208	07/12/1988	Hayashi	
		US- 4,691,512	09/08/1987	Thoma et al	
		US- 4,686,829	08/18/1987	Thomas et al	
		US- 4,633,979	01/06/1987	Edwards	
		US- 4,480,501	11/05/1984	Von Kaler	
		US- 4,221,138	09/09/1980	Stewart et al	
		US- 4,147,075	04/03/1979	Rasman et al	
		US- 4,145,883	03/27/1979	Forster	
		US- 3,834,164	09/1974	Ritter	
		US- 3,751,924	08/1973	Brown et al	
		US- 3,750,533	08/1973	Thoma	

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		US- 3,748,851	07/1973	Hauser	
		US- 3,424,032	01/1969	Ritter	
		US- 3,411,296	04/1966	Peterson	
		US- 3,385,058	05/1968	Root et al	
		US- 3,279,172	10/1966	Kudo et al	
		US- 2,651,216	09/1953	Alden	
		US- 2,546,969	04/1951	Buckendale	
		US- 2,210,594	06/1938	Alden	
		US- 1,802,545	04/1931	Acker	
		US- 1,657,091	01/1928	Morgan	
		US- 1,487,563	03/1924	Hawley	
		US- 1,461,102	07/1923	Sternberg	
		US- 649,020	05/1900	Upton	
		US- 4,893,524	01/1990	Ohashi et al	
		US- 4,903,545	02/1990	Louis et al	
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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	
		Filing Date	
		First Named Inventor	Raymond M. Hauser
		Art Unit	
		Examiner Name	
Sheet 1 of 1	Attorney Docket Number	36400.01US24	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Kanzaki Transaxle" advertisement (no date)	
		EATON "Hydrostatic Transaxle Series 1150" September, 1984	
		"TRW Ross Torqdrive Axle" (no date)	

Examiner Signature		Date Considered	
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